RECEIPT

TRANSMITTAL LETTER									o. 10466/	/127		***	
Serial No. 09/943,664		Filing		Exam To be				Group A 1623	art Unit	<u>H</u>	<u>iece</u>	EIVE	
ventor(s)	)	ı Augu	<u> = 01 = 00 1                         </u>										
shkenazi	i et al.							DA****			JUL 0 8	ð 2002	
ECRETE	D AND TRANSM	<u>IEMBRANE</u>	POLYPEPTIDES				ن THE ٤	SAME		<u>'</u>		U 100	
				MMISSIONER F							CENTE	:н 1600/2	
			eqest for Correction										
	Small entity state submitted.	tus of this a	application under	37 CFR § 1.2	'7 ha	is been estab	olished b	by verified	d stateme	ent pr	eviously		
	A verified statem	ent to estab	olish small entity st	tatus under 37 C	FR §	§§ 1.9 and 1.2.	7 is encl	losed.					
	Petition for a	month ex	xtension of time.										
☒ .	No additional fee	is required.											
	The fee has beer	n calculated	I as shown below:										
						Small I	⊏ntih/		_	Other T Small E			
· ·		F	Mint	<del></del>	7 ,	<u>small</u>	nuty	or		1	<u> </u>	7	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra		Rate	Add'l Fee		Rate		Add'I Fee		
Total		Minus			]	x \$9=			x \$	\$18=			
Total Indep		Minus			]	x 42=			x \$	\$84=		_	
Indep.   First Pres	sentation of Multip		im			+\$140=			+ \$2	280=		_	
185	WILLIAM	,p, Oid			-	Total add'l fee	\$			Total d'I fee	\$		
	Please charge D	Deposit Acco et is enclose	ount No. 23-1925 ( ed.	(BRINKS HOFE	:R GI	ILSON & LION	NE) in the	ie amount	of \$	A	duplicate		
			to cover the										
	The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.												
$\boxtimes$	I hereby petition Please charge a	I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed.  Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.											
	copy or this she	is endius	<i>→</i> <del>- 1</del>	Respectful	[v.kı.ı	bmitted			- 1	1			
				Nespectru		1/2	32	W		•	_		
				Gregory M Registratio Agent for A	on No	o. 48,492 💆	7						
P.O. BO	HOFER GILSON X 10395 GO, ILLINOIS 606 11-4200			, igoni ioi i	االام.								
hereby cer	wife that this correspon	ndence is being tage, in an envel	deposited with the Unite	ed States Postal Servi	ice as s,								
Date:	0/15/07	MA Signa	W. W.	> / Y_	_								
ev. Dec0	00	•		$\geq$									

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents

Washington, D.C. 2022 on

Date of Deposit

Gregory M. Zinkl, Ph.D.

Name of applicant, assignee or

Registered Representative

Our Case No. 10466/127

RECEIVED

JUL 0 8 2002

TECH CENTER 1600/2900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ashkenazi et al.

09/943,664 Serial No.

Filing Date: August 30, 2001

SECRETED AND For

**TRANSMEMBRANE** 

POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

To be assigned Examiner

Group Art Unit No. 1623

## REQUEST FOR CORRECTION OF FILING RECEIPT

**Commissioner for Patents** Washington, D.C. 20231

Attention:

**Application Processing Division** 

**Customer Correction Branch** 

Sir:

Attached is a copy of the Official Filing Receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested. Please make the correction as follows:

Under Applicant(s), please change --Bulingame-- to "Burlingame". 1.

2. Under **Foreign Applications**, please change the publication date for PCT application PCT/US99/12252 from --6/22/1999-- to "6/02/99".

The correction is not due to any error by applicant and no fee is believed to be due. However, in the event that the Patent Office determines that fees are due in connection with the filing of this document, we hereby authorize the Commissioner to charge such fees to our Deposit Account No. 23-1925.

Respectfully submitted,

Gregory M. Zink Ph.D.

Registration No. 48,492

Agent for Applicant

BRINKS HOFER GILSON & LIONE P.O. BOX 10395 CHICAGO, ILLINOIS 60610 (312) 321-4200



JUL 0 8 2002



JUN 2 4 2002

### UNITED STATES PATENT AND TRADEMARK OFFICE COL CENTER MISSIONER FOR PATENTS

UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

www.uspto.gov

TOT CLAIMS IND CLAIMS ATTY.DOCKET.NO DRAWINGS FIL FEE REC'D GRP ART UNIT FILING DATE APPLICATION NUMBER 13 P2548P1C8 08/30/2001 1646 09/943.664 ~

CONFIRMATION NO. 2448 -

Date Mailed: 03/22/2002

CORRECTED FILING RECEIPT 

\*OC000000007702141\*

09157 GENENTECH, INC.

SAN FRANCISCO, CA 94080 RECEIVED NA WAY

APR 01.2002

GENENTECH, INC. LEGAL DEPT.

Records is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Kevin P. Baker, Darnestown, MD; David Botstein, Belmont, CA; Dan L. Eaton, San Rafael, CA; Napoleone Ferrara, San Francisco, CA, Ellen Filvaroff, San Francisco, CA; Mary E. Gerritsen, San Mateo, CA; Audrey Goddard, San Francisco, CA: Paul J. Godowski, Bulingame, CA; J. Christopher Grimaldi, San Francisco, CA; Austin L. Gurney, Belmont, CA; Kenneth J. Hillan, San Francisco, CA; Ivar J. Kljavin, Pacifica, CA; Mary A. Napier, Hillsborough, CA; Margaret Ann Roy, San Francisco, CA; Daniel Tumas, Orinda, CA; William I. Wood, Hillsborough, CA;

# **Assignment For Published Patent Application**

Genentech, Inc.;

# Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/866,028 05/25/2001 AND CLAIMS BENEFIT OF 60/067,411 12/03/1997 AND CLAIMS BENEFIT OF 60/069,334 12/11/1997 AND CLAIMS BENEFIT OF 60/069,335 12/11/1997 AND CLAIMS BENEFIT OF 60/069,278 12/11/1997 AND CLAIMS BENEFIT OF 60/069,425 12/12/1997 AND CLAIMS BENEFIT OF 60/069,696 12/16/1997

AND CLAIMS BENEFIT OF 60/069,694 12/16/1997 AND CLAIMS BENEFIT OF 60/069,702 12/16/1997 AND CLAIMS BENEFIT OF 60/069,870 12/17/1997 AND CLAIMS BENEFIT OF 60/069,873 12/17/1997 AND CLAIMS BENEFIT OF 60/068,017 12/18/1997 AND CLAIMS BENEFIT OF 60/070,440 01/05/1998 AND CLAIMS BENEFIT OF 60/074,086 02/09/1998 AND CLAIMS BENEFIT OF 60/074.092 02/09/1998 AND CLAIMS BENEFIT OF 60/075,945 02/25/1998 AND CLAIMS BENEFIT OF 60/112,850 12/16/1998 AND A CON OF 09/216,021 12/16/1998 ABN WHICH IS A CON OF 09/218,517 12/22/1998 ABN WHICH IS A CON OF 09/254,311 03/03/1999 WHICH IS A 371 OF PCT/US98/25108 12/01/1998 WHICH CLAIMS BENEFIT OF 60/067,411 12/03/1997 WHICH CLAIMS BENEFIT OF 60/069,278 12/11/1997 WHICH CLAIMS BENEFIT OF 60/069,334 12/11/1997 WHICH CLAIMS BENEFIT OF 60/069,335 12/11/1997 WHICH CLAIMS BENEFIT OF 60/069,425 12/12/1997 WHICH CLAIMS BENEFIT OF 60/069,694 12/16/1997 WHICH CLAIMS BENEFIT OF 60/069,696 12/16/1997 WHICH CLAIMS BENEFIT OF 60/069,702 12/16/1997 WHICH CLAIMS BENEFIT OF 60/069,870 12/17/1997 WHICH CLAIMS BENEFIT OF 60/069,873 12/17/1997 WHICH CLAIMS BENEFIT OF 60/068,017 12/18/1997 WHICH CLAIMS BENEFIT OF 60/070,440 01/05/1998 WHICH CLAIMS BENEFIT OF 60/074,086 02/09/1998 WHICH CLAIMS BENEFIT OF 60/074,092 02/09/1998 WHICH CLAIMS BENEFIT OF 60/075,945 02/25/1998 AND SAID 09/218,517 12/22/1998 CLAIMS BENEFIT OF 60/088,742 06/10/1998 AND CLAIMS BENEFIT OF 60/086,414 05/22/1998 AND CLAIMS BENEFIT OF 60/070,440 01/05/1998 AND CLAIMS BENEFIT OF 60/109,304 11/20/1998 AND CLAIMS BENEFIT OF 60/083,500 04/29/1998 AND CLAIMS BENEFIT OF 60/107,783 11/10/1998 THIS APPLICATION 09/943,664 CLAIMS BENEFIT OF 60/113,296 12/22/1998 • AND CLAIMS BENEFIT OF 60/146,222 07/28/1999,

#### Foreign Applications

PCT/US98/19330 09/16/1998 PCT/US98/25108 12/01/1998 PCT/US99/12252 0<del>6/22/1099</del> PCT/US99/21090 09/15/1999 PCT/US99/28409 11/30/1999 PCT/US99/28313 11/30/1999 PCT/US99/28301 12/01/1999 PCT/US99/30095 12/16/1999 PCT/US00/03565 02/11/2000 PCT/US00/04414 02/22/2000 PCT/US00/05841 03/02/2000 PCT/US00/08439 03/30/2000 PCT/US00/14042 05/22/2000 PCT/US00/20710 07/28/2000 PCT/US00/32678 12/01/2000 PCT/US01/06520 02/28/2001

Projected Publication Date: 02/21/2002

Non-Publication Request: No

Early Publication Request: No

Title

Secreted and transmembrane polypeptides and nucleic acids encoding the same

**Preliminary Class** 

435

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### GRANTED.

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).